

**REMARKS**

Applicants wish to thank Examiner Dote for the helpful discussion on October 17, 2007. It was discussed to limit the claims to the X-ray diffraction spectrum of Figure 13.

The present invention as set forth in **amended Claim 1** relates to a photoreceptor, comprising:

an electroconductive substrate;

**a charge generation layer located overlying the electroconductive substrate with an intermediate layer therebetween; and**

a charge transport layer formed overlying the charge generation layer using a non-halogenated solvent and comprising a charge transport material and a resin;

wherein the charge generation layer comprises

a polyvinyl acetal resin, and

**a charge generation material having an average particle diameter less than a roughness of a surface of the intermediate layer, on which the charge generation layer is located;**

**wherein the average particle diameter of the charge generation material is not greater than 0.3  $\mu\text{m}$  and not greater than 2/3 of the roughness of the surface of the intermediate layer;**

wherein the charge generation material is a titanyl phthalocyanine;

wherein the titanyl phthalocyanine has an X-ray diffraction spectrum according to Figure 13 when a Cu-K $\alpha$  X-ray having a wavelength of 1.542 Å is used.

**Amended Claim 28** relates to a photoreceptor, comprising:

an electroconductive substrate;

**a charge generation layer located overlying the electroconductive substrate with  
an intermediate layer therebetween; and**

a charge transport layer formed overlying the charge generation layer using a non-halogenated solvent and comprising a charge transport material and a resin;

wherein the charge generation layer comprises

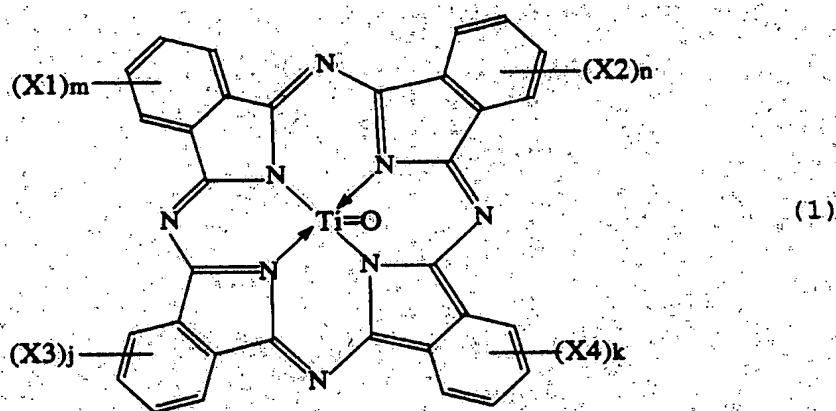
a polyvinyl acetal resin, and

**a titanyl phthalocyanine having an average particle diameter less than a  
roughness of a surface of the intermediate layer, on which the charge generation  
layer is located,**

wherein the titanyl phthalocyanine has an X-ray diffraction spectrum according to Figure 13 when a Cu-K $\alpha$  X-ray having a wavelength of 1.542 Å is used;

**wherein the average particle diameter of the charge generation material is not  
greater than 0.3  $\mu\text{m}$  and not greater than 2/3 of the roughness of the surface of the  
intermediate layer; and**

wherein said titanyl phthalocyanine is represented by formula (1)



wherein X1, X2, X3 and X4 independently represent a halogen atom, and m, n, j and k are independently 0 or an integer of from 1 to 4.

**New Claims 32 and 33** have been added in which no intermediate layer is present.

**New Claim 32** relates to a photoreceptor, comprising:

an electroconductive substrate;

**a charge generation layer located overlying the electroconductive substrate  
having no intermediate layer therebetween; and**

a charge transport layer formed overlying the charge generation layer using a non-halogenated solvent and comprising a charge transport material and a resin;

wherein the charge generation layer comprises

a polyvinyl acetal resin, and

**a charge generation material having an average particle diameter less  
than a roughness of a surface of the electroconductive substrate, on which the  
charge generation layer is located;**

**wherein the average particle diameter of the charge generation material is not  
greater than 0.3  $\mu\text{m}$  and not greater than 2/3 of the roughness of the surface of the  
electroconductive substrate;**

wherein the charge generation material is a titanyl phthalocyanine;

wherein the titanyl phthalocyanine has an X-ray diffraction spectrum according to  
Figure 13 when a Cu-K $\alpha$  X-ray having a wavelength of 1.542 Å is used.

**New Claim 33** relates to a photoreceptor, comprising:

an electroconductive substrate;

**a charge generation layer located overlying the electroconductive substrate**

**having no intermediate layer therebetween; and**

a charge transport layer formed overlying the charge generation layer using a non-halogenated solvent and comprising a charge transport material and a resin;

wherein the charge generation layer comprises

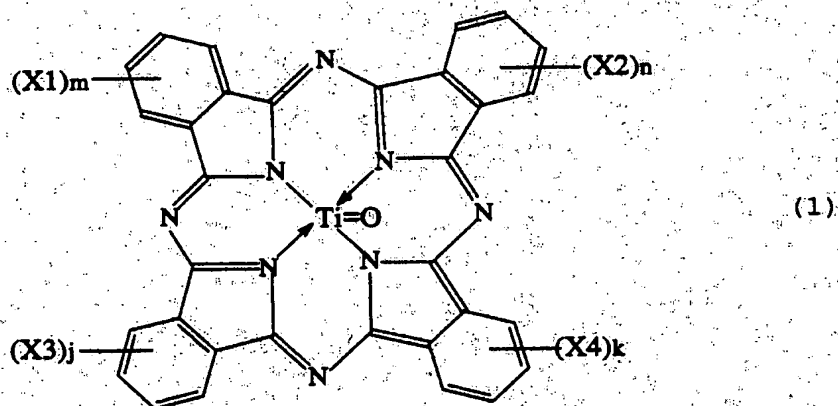
a polyvinyl acetal resin, and

**a titanyl phthalocyanine having an average particle diameter less than a roughness of a surface of the electroconductive substrate, on which the charge generation layer is located,**

wherein the titanyl phthalocyanine has an X-ray diffraction spectrum according to Figure 13 when a Cu-K $\alpha$  X-ray having a wavelength of 1.542 Å is used;

**wherein the average particle diameter of the charge generation material is not greater than 0.3  $\mu\text{m}$  and not greater than 2/3 of the roughness of the surface of the electroconductive substrate; and**

wherein said titanyl phthalocyanine is represented by formula (1)



wherein X1, X2, X3 and X4 independently represent a halogen atom, and m, n, j and k are independently 0 or an integer of from 1 to 4.

The rejection of Claims 30 and 31 are moot in view of the cancellation of these claims.

The rejection of Claims 1, 7-23 and 28, 30 and 31 under 35 USC § 112, 1<sup>st</sup> paragraph, is obviated in view of the amendment of the claims and moot with regard to canceled Claims 30 and 31.

The rejections of the Claims over Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto are traversed.

Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto fail to disclose or suggest the claimed photoreceptors (Claims 1, 28, 32 and 33) and the superior properties of the claimed photoreceptors as set forth in the specification.

Most notably, with regard to Claims 1 and 28, Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto fail to disclose or suggest a photoreceptor, comprising: **a charge generation layer located overlying the electroconductive substrate with an intermediate layer therebetween; a charge generation material having an average particle diameter less than a roughness of a surface of the intermediate layer, on which the charge generation layer is located; wherein the average particle diameter of the charge generation material is not greater than 0.3  $\mu\text{m}$  and not greater than 2/3 of the roughness of the surface of either the electroconductive substrate or the intermediate layer; wherein the charge generation material is a titanyl phthalocyanine; wherein the titanyl phthalocyanine has**

an X-ray diffraction spectrum according to Figure 13 when a Cu-K $\alpha$  X-ray having a wavelength of 1.542 Å is used.

**Regarding new Claim 32 and 33 Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto fail to disclose or suggest a photoreceptor, comprising: a charge generation layer located overlying the electroconductive substrate having no intermediate layer therebetween; and a charge generation material having an average particle diameter less than a roughness of a surface of the electroconductive substrate, on which the charge generation layer is located;** wherein the average particle diameter of the charge generation material is not greater than 0.3  $\mu\text{m}$  and not greater than 2/3 of the roughness of the surface of the electroconductive substrate; wherein the charge generation material is a titanyl phthalocyanine; wherein the titanyl phthalocyanine has an X-ray diffraction spectrum according to Figure 13 when a Cu-K $\alpha$  X-ray having a wavelength of 1.542 Å is used.

Moreover, in Oshiba, an intermediate layer is formed on the surface of the substrate, whose roughness is specified, and a charge generation layer is formed on the intermediate layer. Namely, the roughness of the surface of the substrate is specified and the roughness of the surface of the intermediate layer, which is formed thereon, is not specified. Therefore, Oshiba does not include a concept such that the average particle diameter of the charge generation material included in the charge generation layer is compared with the roughness of the interface between the charge generation layer and the lower layer. Similarly, Niimi and Tamoto do not include such a concept. Therefore, the present invention cannot result even when these references are combined.

Further, in order to protect environment, it is desired not to use a halogenated solvent when a photoreceptor is produced, particularly when a charge transport layer is prepared (because a large amount of solvent is used for preparing a charge transport layer). The object of the present invention is to prepare a charge transport layer without using a halogenated solvent. If a halogenated solvent is merely replaced with a non-halogenated solvent, the resultant photoreceptor is inferior in characteristics (such as photosensitivity). The reason therefore is as follows.

When a charge transport layer coating liquid including a non-halogenated solvent is coated on a charge generation layer, the charge generation material therein aggregates due to the solvent and thereby the specific surface area of the charge generation material decreases. Therefore, the probability that the charge generation material contacts with the charge transport material decreases, resulting in deterioration of photo-carrier generation efficiency, i.e., deterioration of photosensitivity. Therefore, it is necessary to prevent occurrence of aggregation of the charge generation material to avoid the photosensitivity deterioration problem. This can be achieved by controlling the surface roughness of the intermediate layer and the particle size of the charge generation material, the charge generation material aggregation problem can be avoided.

In other words, only after the following four points are understood, the present invention can be made:

- 1) to use a non-halogenated solvent;
- 2) when a non-halogenated solvent is used for preparing a charge transport layer on a charge generation layer, the charge generation material aggregates;
- 3) when the charge generation material aggregates, the photosensitivity of the resultant photoreceptor deteriorates; and

4) by controlling the surface roughness and the particle size of charge generation material, the charge generation material aggregation problem can be avoided.

Since these points are not disclosed and suggested in Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto the present invention is not obvious.

Specifically, there is no disclosure in these references that agglomeration of the charge generation layer can be avoided as disclosed at pages 17 and 18 of the specification.

There is also no disclosure of the superior results obtained in the Synthesis Example 1 which relates to the TiOPc shown in Figure 13 of the present invention and which is used in Examples 1, 3 and 5-7 (no intermediate layer) and Examples 2, 4 and 14-16 (with intermediate layer) of the present invention.



Table 2

	Solvent of CTL liquid	Ave. particle diameter ( $\mu\text{m}$ )	Surface roughness ( $\mu\text{m}$ )	Image qualities		VL (-V)	
				Back-ground fouling	Image density	At the start of test	At the end of test
Ex. 1	THF	0.2	1.0	○	○	90	95
Ex. 2	THF	0.2	0.6	○	○	85	95
Ex. 3	THF	0.2	0.3	△	○	85	90
Ex. 4	THF	0.2	0.4	○	○	95	105
Ex. 5	THF	0.6	1.0	△	○	100	125
Ex. 6	Dioxolan	0.2	1.0	○	○	100	110
Ex. 7	THF/toluene	0.2	1.0	○	○	80	85
Comp. Ex. 1	THF	0.2	-	X	X	100	160
Comp. Ex. 2	THF	0.6	0.6	X	△	110	150
Comp. Ex. 3	THF	0.6	0.3	X	X	100	170
Comp. Ex. 4	THF	0.6	0.4	X	X	115	165
Comp. Ex. 5	THF	0.6	-	X	X	120	180
Comp. Ex. 6	Dioxolan	0.2	-	X	X	130	200
Comp. Ex. 7	THF/Toulene	0.2	-	X	X	100	160
Ref. Ex. 1	Dichloro-methane	0.2	1.0	△	○	85	90
Ref. Ex. 2	Chloroform	0.2	1.0	△	○	95	100
Ex. 8	THF	0.2	0.6	△	△	115	145
Ex. 9	THF	0.2	0.6	△	△	105	135
Ex. 10	THF	0.2	0.6	△	△	110	140
Ex. 11	THF	0.2	0.6	△	△	105	140
Ex. 12	THF	0.2	0.6	△	△	110	145
Ex. 13	THF	0.2	0.6	△	△	105	135
Ex. 14	THF	0.2	0.6	⊙	⊙	85	95
Ex. 15	THF	0.2	0.6	⊙	○	80	90
Ex. 16	THF	0.2	1.0	△	○	100	120
Comp. Ex. 8	THF	0.2	1.0	X	△	100	145

As can be understood from Table 2, the photoreceptor of Examples 1-7 and 14-16 whose CGL is formed without using halogen-containing solvents, can maintain good photosensitivity even when used for a long period of time. Therefore, the photoreceptor can stably produce good images.

These superior results are not disclosed or suggested by Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto.

Application No.: 10/606,750  
Reply to the Office Action dated: August 10, 2007

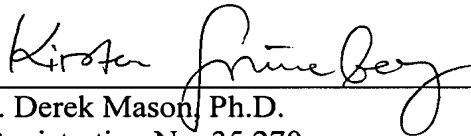
Therefore, the rejections of the Claims over Niimi ('633), ACS File Registry, Chambers, Hashimoto, Takaya, Niimi ('654), Oshiba, JP '358, Ladd et al, Tamura and Tamoto are believed to be unsustainable as the present invention is neither anticipated nor obvious and withdrawal of these rejections is respectfully requested.

The Examiner is requested to withdraw the **provisional** double patenting rejections over Serial Nos. 10/804,067, and 10/656,280 if they are the only remaining rejections in the case. See MPEP 822.01.

This application presents allowable subject matter, and the Examiner is kindly requested to pass it to issue. Should the Examiner have any questions regarding the claims or otherwise wish to discuss this case, he is kindly invited to contact Applicants' below-signed representative, who would be happy to provide any assistance deemed necessary in speeding this application to allowance.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



J. Derek Mason, Ph.D.  
Registration No. 35,270

Customer Number  
**22850**

Kirsten A. Grueneberg, Ph.D.  
Registration No.: 47,297

Tel: (703) 413-3000  
Fax: (703) 413 -2220  
NFO:KAG: